



3628

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ATTORNEY DOCKET NO.: MLCO.P-002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Birle, et al.
Serial No.: 10/064,745
Group Art Unit: 3624

Filing Date: August 12, 2002
Confirmation No.: 6610

REQUEST TO CORRECT FILING RECEIPT

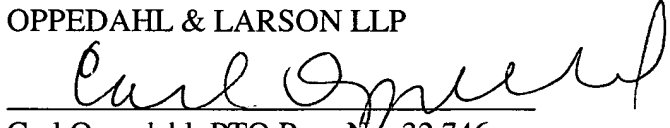
Hon. Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

Applicant respectfully requests the address for inventor Yonathan Epelbaum be changed. His address should be New York, N.Y., as indicated on the Combined Declaration and Power of Attorney. A hand-corrected copy of the Corrected Filing Receipt and a copy of the filed Combined Declaration and Power of Attorney are enclosed.

Respectfully,

OPPEDAHL & LARSON LLP


Carl Oppedahl, PTO Reg. No. 32,746
P.O. Box 5068
Dillon, CO 50435-5068
Tel: (970) 468-6600
Fax: (970) 468-0104

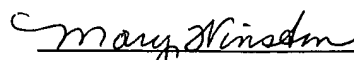
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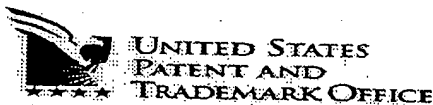
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GROUP 3600**CERTIFICATE OF MAILING under 37 CFR § 1.8(a)**

I hereby certify that this paper and its attachments mentioned herein, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Hon. Commissioner of Patents and Trademarks, Washington, DC 20231 on April 24, 2003.

April 24, 2003
Date of Signature


Mary Winston



Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/064,745	08/12/2002	3624	3696	MLCO.P002	6	95	17

21121
OPPEDAHL AND LARSON LLP
P O BOX 5068
DILLON, CO 80435-5068

CONFIRMATION NO. 6610

UPDATED FILING RECEIPT



OC000000009754048

Date Mailed: 04/02/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

James R. Birle JR., Summit, NJ;
David K. Dolan, Annandale, VA;
Jeffrey N. Edwards, Basking Ridge, NJ;
Yonathan Epelbaum, ~~Ridgewood, NJ~~ New York, N.Y.
Frederick J. Fiddle, Ridgewood, NJ;
Emerson P. Jones, Greenwich, CT;
Stuart C. Kaperst, New York, NY;
Todd K. Kaplan, Winnetka, IL;
Daniel Y. Kerstein, Woodmere, NY;
Dragomir Kolev, New York, NY;
Richard P. Luciano, Morristown, NJ;
Thomas H. Patrick JR., New York, NY;
Paul A. Pepe, New York, NY;
Eric Steifman, New York, NY;
Russell L. Stein, Englewood Cliffs, NJ;
Brennan J. Warble, Bronxville, NY;
Richard J. Green, Woodbury, NY;
Robert Rudnick, Queenstown, MD;
Frank R. Strong, McLean, VA;

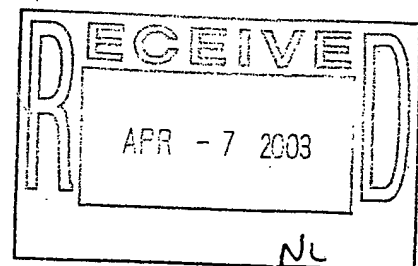
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Domestic Priority data as claimed by applicant

This appln claims benefit of 60/311,574 08/10/2001

Foreign Applications

COPY



If Required, Foreign Filing License Granted: 08/20/2002

Projected Publication Date: 07/10/2003

Non-Publication Request: No

Early Publication Request: Yes

Title

Convertible financial instruments with contingent payments

Preliminary Class

705

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



MLCO.P-002

**COMBINED DECLARATION
AND POWER OF ATTORNEY**

Epelbaum

As a below named inventor, I hereby declare that:

My citizenship, residence and post office address are as listed below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Convertible Financial Instruments with Contingent Payments**, the specification of which

(a) ☐ is attached hereto.

(b) ☒ was filed on August 12, 2002 as Application Serial No. 10/064,745 and was amended on _____.

(c) ☐ was described and claimed in International Application No. _____ filed on _____ and amended on _____.

Acknowledgment of Duty of Disclosure

I hereby state that I have reviewed and understood the content of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

35 U.S.C. § 120

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)

Power of Attorney

I hereby appoint the practitioners at Customer No. 21121 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO: customer number 21121

DIRECT TELEPHONE CALLS TO:
OPPEDAHL & LARSON LLP
(970)468-6600

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Claim for Priority

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign applications for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

EARLIEST FOREIGN APPLICATION(S), FILED WITHIN TWELVE MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
				YES [] NO []	YES [] NO []
FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)		

Provisional Application

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

60/311,574 August 10, 2001
(application number) (filing date)

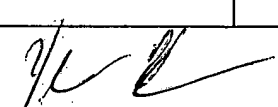
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF FIRST INVENTOR	LAST NAME BIRLE, JR.	FIRST NAME JAMES	MIDDLE NAME R.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Summit	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 37 Sweetbriar Road		CITY Summit,	STATE/COUNTRY ZIP CODE NJ 07901
DATE		SIGNATURE	

- ☒ Signature for additional joint inventor attached. Number of Pages 5.
☐ Signature by Administrator(trix) or legal representative for deceased or incapacitated inventor. Number of Pages ____.
☐ Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR § 1.47. Number of Pages ____.

NAME OF SECOND INVENTOR	LAST NAME DOLAN	FIRST NAME DAVID	MIDDLE NAME K
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Annandale	STATE OR COUNTRY OF RESIDENCE Virginia	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 6535 Renwood Lane		CITY Annandale	STATE/COUNTRY ZIP CODE VA22003
DATE		SIGNATURE	

NAME OF THIRD INVENTOR	LAST NAME EDWARDS	FIRST NAME JEFFREY	MIDDLE NAME N.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Basking Ridge	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 55 Meeker Road		CITY Basking Ridge	STATE/COUNTRY ZIP CODE NJ 07920
DATE		SIGNATURE	

NAME OF FOURTH INVENTOR	LAST NAME EPELBAUM,	FIRST NAME YONATHAN	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US Mexico Y.O
POST OFFICE ADDRESS 500 Chambers St. #40 444 12th Street 3E Y.O		CITY New York,	STATE/COUNTRY ZIP CODE NY 10282 11215 Y.O
DATE 1/14/03		SIGNATURE 	

NAME OF FIFTH INVENTOR	LAST NAME FIDDLE	FIRST NAME FREDERICK	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Ridgewood	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 450 Knollwood Road		CITY Ridgewood	STATE/COUNTRY ZIP CODE New Jersey 07450
DATE		SIGNATURE	

NAME OF SIXTH INVENTOR	LAST NAME JONES	FIRST NAME EMERSON	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Greenwich	STATE OR COUNTRY OF RESIDENCE CT	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 72 Rockwood Lane		CITY Greenwich	STATE/COUNTRY ZIP CODE CT 06830
DATE		SIGNATURE	

NAME OF SEVENTH INVENTOR	LAST NAME KAPERST	FIRST NAME STUART	MIDDLE NAME C.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 180 E. 79 th Street #10 F		CITY New York	STATE/COUNTRY ZIP CODE New York 10021
DATE		SIGNATURE	

NAME OF EIGHTH INVENTOR	LAST NAME KAPLAN	FIRST NAME TODD	MIDDLE NAME K.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Winnetka	STATE OR COUNTRY OF RESIDENCE Illinois	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 254 Scott Avenue		CITY Winnetka	STATE/COUNTRY ZIP CODE IL 60093
DATE		SIGNATURE	

NAME OF NINTH INVENTOR	LAST NAME KERSTEIN	FIRST NAME DANIEL	MIDDLE NAME Y.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE WOODSBURGH	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1000 BARBERRY LANE		CITY WOODSBURGH	STATE/COUNTRY ZIP CODE NY 11598
DATE		SIGNATURE	

NAME OF TENTH INVENTOR	LAST NAME KOLEV	FIRST NAME DRAGOMIR	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE NY	COUNTRY OF CITIZENSHIP BG
POST OFFICE ADDRESS 330 E 33 rd St. \$4G		CITY New York	STATE/COUNTRY ZIP CODE NY10016
DATE		SIGNATURE	

NAME OF ELEVENTH INVENTOR	LAST NAME LUCIANO	FIRST NAME RICHARD	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Morristown	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1 Three Gables Road		CITY Morristown	STATE/COUNTRY ZIP CODE NJ 07690
DATE		SIGNATURE	

NAME OF TWELFTH INVENTOR	LAST NAME PATRICK, JR	FIRST NAME THOMAS	MIDDLE NAME H.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1365 York Avenue #8K		CITY New York	STATE/COUNTRY ZIP CODE 10021
DATE		SIGNATURE	

NAME OF THIRTEENTH INVENTOR	LAST NAME PEPE	FIRST NAME PAUL	MIDDLE NAME A.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 23 E 10 th Street #109		CITY New York	STATE/COUNTRY ZIP CODE New York 10003
DATE		SIGNATURE	

NAME OF FOURTEENTH INVENTOR	LAST NAME STEIFMAN	FIRST NAME ERIC	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 310 W. End Avenue #10 B		CITY New York	STATE/COUNTRY ZIP CODE New York 10023
DATE		SIGNATURE	

NAME OF FIFTEENTH INVENTOR	LAST NAME STEIN	FIRST NAME RUSSELL	MIDDLE NAME L.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Englewood Cliffs	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Karens Lane		CITY Englewood Cliffs	STATE/COUNTRY ZIP CODE New Jersey 07632
DATE		SIGNATURE	

NAME OF SIXTEENTH INVENTOR	LAST NAME WARBLE	FIRST NAME BRENNAN	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Bronxville	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 3 Sherman Avenue		CITY Bronxville	STATE/COUNTRY ZIP CODE NY 10708
DATE		SIGNATURE	

NAME OF SEVENTEENTH INVENTOR	LAST NAME GREEN	FIRST NAME RICHARD	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodbury	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Castle Court		CITY Woodbury	STATE/COUNTRY ZIP CODE NY 11797
DATE		SIGNATURE	

NAME OF EIGHTEENTH INVENTOR	LAST NAME Rudnick	FIRST NAME Robert	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Queenstown	STATE OR COUNTRY OF RESIDENCE MD	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1128 Cheston Lane		CITY Queenstown	STATE/COUNTRY ZIP CODE MD 21658
DATE		SIGNATURE	

NAME OF NINETEENTH INVENTOR	LAST NAME Strong	FIRST NAME Frank	MIDDLE NAME R
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE McLean	STATE OR COUNTRY OF RESIDENCE VA	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS Chesterbrook Vale Court		CITY McLean	STATE/COUNTRY ZIP CODE VA 22101
DATE		SIGNATURE	